

**CENTER FOR HEMISPHERIC DEFENSE STUDIES
NATIONAL DEFENSE UNIVERSITY
WASHINGTON, DC 20319-5066**



Strategy and International Security (SIS-2011)

**Distributive-Learning I (DL-I)
Monday, September 12 – Friday, October 7, 2011**

**In-Residence
Monday, October 17 – Friday, November 4, 2011**

**Distributive Learning II (DL-II)
Monday, November 7, 2010 – Monday, January 2, 2012**

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Course Introduction and General Description

This is a 14-week course, combining both on-line and in-residence activities to provide students a broad perspective on the subjects of strategy and international security in Latin America and the Caribbean. The program takes place across three phases.

Distance Phase:

During a three-week, on-line period, prospective participants will receive reading material – which they will be asked to analyze and evaluate. Simultaneously, they will be asked to prepare a research paper proposal. The evaluations of the reading analyses and the draft proposal will determine the student's eligibility to attend the resident phase of the course.

Resident Phase:

During a three-week resident phase at CHDS, approved participants will engage in an intensive program of lectures, conferences, seminars, case studies, debates, and readings. They will also have the opportunity to revise their original research proposal.

Writing Phase:

Following the resident phase, students will have eight weeks, also conducted on-line, and dedicated to the writing, perfecting, and delivery of their research paper.

Pre-Requisites:

As pre-requisites for the course, candidates must hold an accepted college degree and demonstrate an ability to read texts in English. Graduates of previous CHDS resident courses will receive priority. Those who are selected to attend will be asked to present a copy of all college transcripts, including a copy translated into English. These documents will be evaluated to confirm equivalence to a university degree and thus eligibility for the graduate-level credits. Selected participants will be given detailed instructions.

Reading Load:

Participants must be aware that they will be required to read approximately 120 pages per week during the pre-course phase, and approximately 70 pages per day during the in-residence phase of the course.

Course Goal: To deepen the participant's ability to analyze international relations, international security, and strategy, with a particular focus on security issues in Latin America.

Course Overview: To deepen the participant's understanding of strategic thinking in the context of international security. In so doing, participants will undertake an in-depth study of international relations and strategy, and apply the theory and levels of analysis to a security issue in Latin America and the Caribbean.

Course Objectives:

At the end of the course, students will be expected to:

- Understand and identify the major schools of thought and approaches to international relations, transnational relations, and world politics.
- Understand and identify both classical and contemporary schools of thought and approaches on strategy.
- Apply international relations theory and strategic thinking to a major security challenge in Latin America and the Caribbean.

Course Development/Methodology

A. Distance-Learning Phase (3 weeks)

Monday, September 12-Friday, October 7

The Distance phase of the course lasts three weeks and will be conducted via blackboard and via email between the professor and the students; communication will take place in English and Spanish. The pre-course phase is designed to help the students acquire and/or refresh the theoretical knowledge necessary to participate effectively during the resident phase of the course, which will take place in Washington, D.C.

1. Paper Proposal

At the end of the Distance Phase, the students will present a paper proposal in English, Spanish, Portuguese, or French. The proposal must address a specific challenge related to security and/or defense in Latin America and the Caribbean, and must be approved by the professor. The paper, approximately 10-15 pages in length, must be completed no later than 8 weeks after the completion of the resident phase of the course.

2. Policy Proposal Format

All research proposals will follow the format that is available in the first week's assignment folder on blackboard. A brief summarized format is given below:

- Research Proposal
- Bibliography
- Literature Review
- Methodology

It is important that you read the format document posted on Blackboard for further explanation. Poor research proposals will result in disqualification from attending the Resident Phase of the course. Students are therefore encouraged to start working on the proposal during the first week of the Distance Phase, and communicate regularly with the professor to make sure they are on the right track.

The paper proposal will not be graded, but will form the basis for the paper that is due at the end of the course. The final paper represents 40% of the final grade; therefore the proposal is extremely important.

B. Resident Phase (3 weeks)

Monday, October 17- Friday, November 4

The residence phase of the course will be conducted at CHDS. Students will be exposed to an in-depth treatment on theoretical and practical discussions regarding international relations and strategy. They will be challenged to analyze complex circumstances related to these themes. Methodology to help students acquire this knowledge will include required reading, lectures, conferences by experts and practitioners, seminars, and exercises. Themes will be distributed so that students develop a general understanding of the concepts and issues surrounding these phenomena. Particular emphasis will be placed on improving the ability of each participant to apply theory and levels of analysis to security issues.

During this phase students will be expected to take advantage of the National Defense University library and resources to continue the research and writing process on their papers. They will also be expected to take advantage of the presence of the professors to have one-on-one discussions to help guide and direct their research efforts.

1. Exercises

Students will participate in a number of evaluated exercises during the Resident Phase. The exercises will evaluate the participants' comprehension and analysis of the assigned readings, lectures, and discussions, utilizing an active application methodology. The students demonstrate their ability to effectively utilize the principal concepts in both individual and group activities, to include written and oral presentations.

2. Examinations

Students will be graded during the Resident Phase on the basis of one mid-course and one final examination. The examinations will evaluate the participants' comprehension and analysis of the assigned readings, lectures, and discussions. The examinations will consist of short-answer and/or essay questions.

C. Paper Writing Phase (8 weeks)

Monday, November 7 – Monday, January 2, 2012

After the in-residence phase, students will have 8 weeks to complete their research and conclude the paper. During this phase, they may receive on-line generic orientation and advice regarding the paper but should not expect reviews, editing, or proof-readings. Students may present their papers in English, Spanish, Portuguese, or French.

No paper will be accepted after the established deadline.

Course Grading

Grades will be ascribed according to the following distribution:

Research-paper proposal	Accepted (admission to Phase II)
Mid-course examination	20%
Participation throughout the course, including exercise performance	20%
Final course examination	20%
Research paper	40%

Course Schedule and Readings

A. Distance Phase (on-line)

The distance phase of the course lasts three weeks and will be conducted via Blackboard and via email between the professor and the students; communication will take place in English. Each week emphasizes a distinct sub-theme of the course, and is oriented around a few key classic readings related to that theme. Students are responsible for completing all the assigned readings. The student's comprehension of the readings will be evaluated via email conversations with the professors, as well as threaded discussions between and among the other students in the class. Failure to participate effectively in the Distance Phase is grounds for non-admission to the Resident Phase of the course. The readings will be made available on-line via Blackboard.

Week 1: International Environment

Required Readings:

- Doyle, Michael W. "Liberalism and World Politics," in *American Political Science Review* 80, No. 4 (December 1986): 1151-69.
- Jervis, Robert. "Cooperation under the Security Dilemma," in *World Politics* 30, No. 2 (January 1978): 167-214.
- Morgenthau, Hans. "A Realist Theory of International Politics," from *Politics Among Nations: The Struggle for Power and Peace* (1949), chap. 3.
- Ruggie, John Gerard "What Makes the World Hang Together? Neo-Utilitarianism and the Social Constructivist Challenge." In *Exploration and Contestation in the Study of World Politics*. Edited by Peter J. Katzenstein, Robert O. Keohane, and Stephen D. Krasner (Cambridge, Mass., 1999), pages 215-245.
- Walt, Stephen M. "International Relations: One World, Many Theories," in *Foreign Policy*, no. 110 (Spring 1998), 29-44.

Complementary Readings:

- Keohane, Robert O.; Nye, Joseph S., Jr. "Introduction." In *Governance in a Globalizing World*, edited by Joseph S. Nye and John D. Donahue (Washington, D.C.: Brookings Institution Press, 2000), pages 1-38.
- Wendt, Alexander. "Anarchy is What States Make of It," *International Organization* 46 (Spring 1992), pages 391-425.

Week 2: Strategic Thought

Mandatory Readings:

- Gray, Colin S. "The Strategist as Hero." *Joint Force Quarterly*, no. 62 (3rd Quarter 2011): 37-45. <http://www.ndu.edu/press/strategist-as-hero.html>
- Strachan, Hew. *How is War directed? The problem of Strategy*. Cambridge University Lecture on 3 February 2011. Available at: <http://www.youtube.com/watch?v=FHWyffZn5-c>
- Yarger, Harry R. *Strategic Theory for the 21 Century: The Little Book on Big Strategy*, (Carlisle Barracks, PA: U.S. Army War College, Strategic Studies Institute, February 2006): all 89 pages. Available at: <http://www.strategicstudiesinstitute.army.mil/pubs/display.cfm?pubID=641>

Complementary Readings:

- Betts, Richard K. 2000. "Is Strategy an Illusion?" *International Security* 25 (2): 5-50.
- Clausewitz, Carl. *On War*, Michael Howard and Peter Paret, editors and translators, Princeton University Press, 1984.
 - Book I – On the Nature of War, Chapter I, What is War?
 - Book II – On the Theory of War, Chapter III, Art or Science of War
 - Book III – Of Strategy in General, Chapter II, Elements of Strategy
- Nicholson, M. *Rationality and the Analysis of International Conflict*. Cambridge Studies in International Relations. Cambridge University Press. 1997. Part I - Conflict. pages 9-39. Part II Rational Behaviour, pages 43-100.

Week 3: Methodology and Paper Proposal

- Van Evera, Stephen. "Hypotheses, Laws, and Theories: A User's Guide," chapter 1 in *Guide to Methods for Students of Political Science* (Ithaca and London: Cornell University Press, 1997): pages 7-48.
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- David Kaiser, *Writing Guide*. Pages 1-22.

B. In-Residence Phase

Week 1: Theory & Methodology

The focus of the first week of the in-residence phase is the theory related to International Relations, International Security, and Strategy. The course reviews research methodology and various frameworks that should guide the research and writing of the course paper.

Day 1: Introduction

- **Director's Welcome**
- **Course Overview**
- **Administrative Measures**

Course Introduction:

Goal: Provide an overview of the course and detail its in-residence phase.

Objectives:

- Participants will comprehend the rationale for the entire course vis-à-vis its generic and particular goals.
- Participants will understand and describe the particular requirements and the rationale of the "in-residence" phase.
- Participants will comprehend the logic which sustains the in-residence exercise requirements for the research proposal and paper.

Day 2: Theory and Methodology: A Framework for Analysis

These introductory lectures provide a mix of theory and methodology, with a practical framework of analysis for the course paper. They examine levels of analysis in international relations theory and the various approaches to strategic thought.

Goal: Provide tools and models for analyzing security issues within the context of international relations theory and strategic thought.

Objectives:

- Participants will comprehend the levels of analysis in international relations theory.
- Participants will be able to apply these levels of analysis in their research.
- Participants will understand the case study method.

Mandatory Readings:

- Waltz, Kenneth N. *Theory of International Politics* (Mass.: Addison-Wesley, 1979), chapters 2 and 3.
- Van Evera, Stephen. "What Are Case Studies?" chapter 2 in *Guide to Methods for Students of Political Science* (Ithaca and London: Cornell University Press, 1997): pages 49-88.
- Bardach, Eugene. *A Practical Guide for Policy Analysis: The Eightfold Path to More Effective Problem Solving* (Washington, D.C.: CQ Press/Sage, Third Edition, 2009): pages xv to 13.

Complementary Readings:

- Brooks, Stephen G. and Wohlforth, William C. *World out of Balance: International Relations and the Challenge of American Primacy* (Princeton: Princeton University Press, 2008).
- Bueno de Mesquita, Bruce, Morrow, James D., Siverson, Randolph M. and Smith, Alastair. "An Institutional Explanation of the Democratic Peace," *American Political Science Review* 93 (December 1999), pp. 791-808.
- Detlef, Nolte. "How to compare regional powers: analytical concepts and research topics." *Review of International Studies*, 36, 2010. pp 881-901 Rasler, Karen A, and William R. Thompson. *Puzzles of the Democratic Peace: Theory, Geopolitics, and the Transformation of World Politics*. New York: Palgrave Macmillan, 2005. Print.
- Lee Ray, James. "Integrating Levels of Analysis in World Politics," *Journal of Theoretical Politics* 13 (4), 2001: 355-388.
- Mingst, Karen and Snyder, Jack. Eds. *Essential Readings in World Politics*. NY: W.W. Norton & Co., 2001.
- Rasler, Karen A, and Thompson, William R. *Puzzles of the Democratic Peace: Theory, Geopolitics, and the Transformation of World Politics*. New York: Palgrave Macmillan, 2005. Print.

- Russett, Bruce and Oneal, John. *Triangulating Peace: Democracy, Interdependence, and International Organizations* (New York: W. W. Norton and Company, 2001), ch. 1.
- Singer, J. David. "The Level-of-Analysis Problem in International Relations," *World Politics*, Vol 14, No. 1, October 1961, pages 77-92.
- Waltz, Kenneth N. *Man, the State, and War* (New York: Columbia University Press, 1965).
- Ward, Michael D. Randolph M. Siverson and Xun Cao, "Disputes, Democracies, and Dependencies: A Reexamination of the Kantian Peace", *American Journal of Political Science*, Vol. 51, No. 3 (Jul., 2007), pp. 583-601.
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Additional Readings in International Relations Theory:

- Carr, E. H. *International Relations Between the Two World Wars, 1919-1939*. New York: Harper & Row, 1966.
- Grieco, Joseph M. "Anarchy and the Limits of Cooperation: A Realist Critique of the Newest Liberal Institutionalism." *International Organization* 42, no. 3 (1988): 485-507. [Reprinted in Oran R. Young, ed. *The International Political Economy and International Institutions* Volume II. Brookfield, Vermont: Edward Elgar Publishing Co., 1996, pages 311-33.]
- Jervis, Robert. "Unipolarity: A Structural Perspective," *World Politics*, Vol 61 (2008): 188-213.
- Kay, Sean. *Global Security in the Twenty-first Century: The Quest for Power and the Search for Peace* (Lanham, Boulder, New York, Toronto, Oxford: Rowman and Littlefield Publishers, Inc., chapters 1 & 2 (pages 1-56).
- Katzenstein, Peter J. Robert O. Keohane, and Stephen D. Krasner, eds. *Exploration and Contestation in the Study of World Politics*. Cambridge, Mass.: MIT Press, 1999.
- Keohane, Robert O. "Theory of World Politics: Structural Realism and Beyond." In *Neorealism and Its Critics*. Edited by Robert O. Keohane. New York: Columbia University Press, 1986, pages 158 –203.
- Keohane, Robert O. "International Institutions: Two Approaches." *International Studies Quarterly* 32 (1988): 379-96. [Reprinted in Oran R. Young, ed., *The International Political Economy and International Institutions* Volume I. Brookfield, Vermont: Edward Elgar Publishing Co., 1996, pages 289-306.
- Mingst, Karen and Snyder, Jack. Eds. *Essential Lectures in World Politics*, Third Edition (New York & London: Norton, 2008). Chapter 4: "The International System". Selections by Hedley Bull, Hans Morgenthau, and Immanuel Wallerstein, pages 127-145.
- Mintzberg, Henry. *The Rise and Fall of Strategic Planning*, New York: The Free Press, 1994.
- Molander, Roger, Riddile, Andrew and Wilson, Peter. *Strategic Information Warfare: A New Face of War*, Santa Monica, Calif.: RAND, MR-661-OSD, 1996.
- Waltz, Kenneth N. "Structural Realism after the Cold War," *International Security* Vol. 25, No. 1 (2000): 5-41.

Day 3: Grand Strategy

This lecture will consider strategy at the highest level - - often referred to as grand strategy. It will link grand strategy to Latin America.

Goal: Stimulate reflection on the realities of grand strategy, and whether this model has explanatory value in relation to Latin America.

Objectives:

- Participants will comprehend the various levels of strategy.
- Participants will analyze the strengths and weaknesses of grand strategic thought.
- Participants will seek to apply grand strategy to an analysis of Latin America.

Mandatory Readings:

- Navarro Meza, Miguel. "Política Exterior y Política de Defensa: Una Cercanía Esquiva." *Revista Política y Estrategia* (No. 114, julio-diciembre 2009): 54-116.
- Lykke, Arthur F., Jr. Defining Military Strategy, *Military Review*, January-February 1997, pages 1-5.
- Van Creveld, Martin. *The Transformation of War*, pages 1-18.

• **Complementary Readings:**

- Buzan, Barry. *Regions and Powers : The Structure of International Security*. Cambridge University Press, 2003: 40-91.

Day 4: Strategic Thought

This lecture analyzes the theoretical underpinnings of strategy, and links the concepts of policy, strategy, and national security. This lecture establishes the foundation to understand the context in which strategies are developed. It moves beyond the broad discussion of strategy at the conceptual level to a more operational treatment of how to convert “grand strategy” into specific governmental strategies and policies.

Goal: To provide students with a keen understanding of the complexity involved with the subject of strategy.

Objectives:

- Participants should be able to identify various approaches to strategic thought.
- Participants should be able to apply the various approaches to security issues in Latin America.
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Mandatory Readings:

- Luttwak, E. N. *Strategy: The Logic of War and Peace*. Cambridge. Harvard University Press. 2003. Part I (The Logic of Strategy), Part II (The Levels of Strategy). pages 3-31;87-137.
- Murray, W.; Grimsley, M. “Introduction: On Strategy” in W Murray, et. al. (ed.). *The Making of Strategy: Rulers, States, and War*. Cambridge University Press. 1995. Pages 1-23.

Complementary Reading:

- Garcia, Jaime. “The Significance of Conventional Deterrence in Latin America,” in *The International Library of Essays on Military History in Warfare in Latin America*, Vol II, Edited by Miguel Centeno, Princeton University, ASHGATE publication, 2007.
- Paret, Peter. Editor. *Makers of Modern Strategy: From Machiavelli to the Nuclear Age* (Princeton: Princeton University Press, 1986).

Day 5: Defense Policy Analysis

This lecture examines defense policy analysis and the methods for such analysis.

Goal: Apply defense policy analysis methods to Latin America and the Caribbean.

Objectives:

- Identify some of the methods of policy analysis, and how they can be applied to the defense arena.
- Apply defense policy analytical tools to Latin America.

Mandatory Reading:

- Kugler, Richard L., Policy Analysis in National Security Affairs: New Methods for a New Era, chapters 2-4, pages 11-60.

Complementary Reading:

- Kugler, Richard L., Policy Analysis in National Security Affairs: New Methods for a New Era, entire book.

Week 2: Strategy

The focus of the second week of the in-residence phase is strategy, at all levels, and applied to Latin America. The week begins with a review of classical strategic thought, and moves to more contemporary strategic thinkers and to various levels of strategy. The course then applies strategic thinking to Mexico and the United States, within the context of international security. In applying this theory in their papers, participants should be explicit in their utilization of a level (or various levels) of strategy.

Day 6: Strategy: A Comparative Framework

Goal: Examine strategy comparatively and globally.

Objectives:

- Identify methodological tools for strategic analysis in a comparative framework.
- Apply the Ospina “J” curve to various case studies.

Mandatory Reading:

- Ospina, Carlos with Thomas A Marks. “Changing Strategy Amidst the Struggle: The Colombian Case as a Success Story.” Draft, 1 September 2011. 22 pages.

Day 7: International Security: Actors & Theaters

This lecture looks at the international security environment as a “gran tablero”. It considers the various theaters in the international security arena, and the role of various actors.

Goal: To provide students with tools to apply international relations theories to security issues across the globe and to Latin America.

Objective:

- Participants should be able to analyze security issues in a global and regional perspective, and to identify key actors.

Mandatory Readings:

- Institute for National Strategic Studies, *Global Strategic Assessment 2009: America's Security Role in a Changing World* (Washington, D.C.: National Defense University Press.” Chapters 1, 2, 5, 7, and 8.

Complementary Readings:

- Battaglino Utdt, Jorge M. ¿Réquiem para la guerra en la Región Andina? Límites al conflicto en las relaciones entre Colombia y Venezuela. *Revista SAAP* [online]. 2009, vol.3, n.3, pp. 567-580.
- Bergman, Marcelo and Whitehead, Laurence. *Criminality, Public Security, and the Challenge to Democracy in América Latina*. Notre Dame, Kellogg Institute of International Studies, University of Notre Dame Press, 2009. Selected chapters.
- Buzan, Barry. *Regions and Powers: The Structure of International Security*, Cambridge University Press, 2003. Pages 261-341
- Fuchs, Ruth. Hacia una Comunidad Regional de Seguridad?: Las Fuerzas Armadas en la Percepción de las Elites parlamentarias en Argentina, Brasil, Chile, Paraguay y Uruguay . *Rev. cienc. polít.* (Santiago), 2006, vol.26, no.2, pp.29-52.
- Garcia, Jaime. “The Significance of Conventional Deterrence in América Latina,” in *The International Library of Essays on Military History in Warfare in América Latina*, Vol II, Edited by Miguel Centeno, Princeton University, ASHGATE publication, 2007.
- Guardiola-Rivera, Oscar. *What if América Latina Ruled the World?: How the South will take the North Through the 21st Century*. Bloomsbury Press, 2010.
- Laurienti, Jerry. *The U.S. Military and Human Rights Promotion: Lessons from América Latina*. Praeger Security International. Praeger Press, 2007.
- Lopez-Calva, Luis Felipe and Lustig, Nora. Eds. *Declining Inequality in América Latina: A Decade of Progress?* Brookings Institution Press, 2010. Selected chapters.

- Ministerio de Defensa Nacional de Chile, *El Consejo de Defensa Suramericano de la Unasur Crónica de su Gestación*, Grupo de Trabajo del Consejo de Defensa Suramericano, Santiago de Chile, Julio de 2009.
- Moreira, Angela. Consejo Sudamericano de Defensa: Hacia una Integración Regional en Defensa, REDSAL, Diciembre 2008.
- Sicker, Martin. *The Geopolitics of Security in the Americas: Hemispheric Denial from Monroe to Clinton*. Praeger, 2001. Electronic book. Selected chapters.
- Tulchin, Joseph S.; Fruhling, H. Hugo; Golding, Heather. Eds. *Crime and Violence in América Latina: Citizen Security, Democracy, and the State*. Washington: Johns Hopkins University Press, 2003.
- Vanden, Harry E.; Prevost, Gary. *Politics of América Latina: The Power Game*. Oxford University Press, 2008. Chapter case studies of: Guatemala, Mexico, Cuba, Brazil, Argentina, Chile, Venezuela, Colombia, Nicaragua, and Bolivia.
- Varas, Augusto. "New Power Relations in América Latina and their Global Influence," Report of the conference co-sponsored by the Norwegian Peacebuilding Centre and Universidad de los Andes, held in Bogota, Colombia, 28 October 2009, pages 1-22.

Day 8: Thinking About Strategy

Goal: To link the notion of “strategy” to real challenges facing Latin America and the Caribbean today.

Objectives:

- Comprehend various definitions and facets of strategy.
- Develop criteria to determine how strategy may (or may not) relate to security issues in Latin America and the Caribbean.

Mandatory Readings:

- “The 27 Articles of T. E. Lawrence,” *The Arab Bulletin*, August 20, 1917. 6 pages.
<http://www.usma.edu/dmi/IWmsgs/The27ArticlesofT.E.Lawrence.pdf>
- Guedes da Costa, Thomaz “The Teaching of Strategy; Lykke’s Balance, Schelling’s Exploitation, and a Community of Practice in Strategic Thinking,” chapter 8 in Gabriel Marcella, editor, *Teaching Strategy: Challenge and Response* (Carlisle, PA: Strategic Studies Institute, U.S. Army War College, 2010) pages 203-240.
<http://www.strategicstudiesinstitute.army.mil/pubs/display.cfm?pubID=976>
- Gray, Robert C. “The Study of Strategy: A Civilian Academic Perspective,” chapter 3 in Gabriel Marcella, editor, *Teaching Strategy: Challenge and Response* (Carlisle, PA: Strategic Studies Institute, U.S. Army War College, 2010), pages 47-77.
<http://www.strategicstudiesinstitute.army.mil/pubs/display.cfm?pubID=976>

Complementary Reading:

- Betts, Richard K. “Is Strategy an Illusion?” in *International Security*, Volume 25, No. 2 (Fall 2000), pages 5-50.
- Marcella, Gabriel. editor, *Teaching Strategy: Challenge and Response*, Strategic Studies Institute, U.S. Army War College, 2010.

Day 9: National Security Strategy: Mexico

Goal: Be able to apply concepts in international security and strategic thinking to current security issues in Mexico.

Objectives:

- Be familiar with theories of international security and strategy.
- Apply theories of international security and strategic thinking to security issues in Mexico.

Mandatory Readings:

- Ribando Seelke, Clare; Finklea, Kristin M. U.S.-Mexican Security Cooperation: The Merida Initiative and Beyond (U.S. Congressional Research Service Report for Congress, Report 7-5700, R41349, July 29, 2010): 39 pages.
- Ai Camp, Roderic. *Armed Forces and Drugs: Public Perceptions and Institutional Challenges*, Working Paper Series on U.S.-Mexico Security Collaboration, Wilson Center for Scholars/Mexico Institute and University of San Diego, Trans-Border Institute, May 2010, 33 pages.

Complementary Reading:

- Brands, Hal. Mexico's Narco-Insurgency and U.S. Counterdrug Policy (U.S. Army War College, Strategic Studies Institute, 2009): 67 pages.
- Council of Foreign Relations, *Moving Beyond Merida in U.S.-Mexico Security Cooperation*, Prepared statement by Shannon O'Neil before the Committee on Foreign Affairs: Subcomité on the Western Hemisphere; and Committee on Homeland Security: Subcommittee on Border, Maritime, and Global Counterterrorism, United States House of Representatives, 111th Congress, 2nd session, Hearing on "U.S.-Mexico Security Cooperation: Next Steps for the Merida Initiative," ThursDía, May 27, 2010. 9 pages.
- Grayson, George W. *Mexico: Narco-Violence and a Failed State?* (Transaction, 2009).
- Olson, Eric L.; Wilson, Christopher E. *Beyond Merida: The Evolving Approach to Security Cooperation*, Working Paper Series on U.S.-Mexico Security Collaboration, Wilson Center for Scholars/Mexico Institute and University of San Diego, Trans-Border Institute, May 2010, 8 pages.
- Vandklen, Harry E.; Prevost, Gary. *Politics of América Latina: The Power Game* (Oxford University Press, 2008). Chapter on Mexico, by Nora Hamilton

Day 10: National Security Strategy: USA

Goal: Examine strategy at the nation-state level, with a focus on the Americas.

Objective:

- Assess the national security strategy of the United States.

Mandatory Reading:

- Kugler, Richard L. *New Directions in U.S. National Security Strategy, Defense Plans, and Diplomacy: A Review of Official Strategic Documents* (Institute for National Strategic Studies, Center for Technology and National Security Policy, 2011), 159 pages.
<http://www.ndu.edu/press/lib/pdf/books/new-directions.pdf>

Complementary Readings:

- Allison, Graham T. "Conceptual Models and the Cuban Missile Crisis," *The American Political Science Review*, Vol 63, 3, 1969
- Art, Robert J. *A Grand Strategy for America* (Ithaca, N.Y.: Cornell University Press, 2003), especially chapters 1-3.
- Bush, George W. *The National Security Strategy of the United States of America* (The White House, September 2002).
- Gates, Robert M. "A Balanced Strategy: Reprogramming the Pentagon for a New Age," *Foreign Affairs*, January/February 2009.
- Lempert, Robert J.; Groves, David G.; Popper, Steven W.; Bankes, Steve C. *A General, Analytic Method for Generating Robust Strategies and Narrative Scenarios*. Management Science Online. 2006.
- Obama, Barack Hussein. *National Security Strategy* (The White House, May 2010), pages 1-52.
http://www.whitehouse.gov/sites/default/files/rss_viewer/national_security_strategy.pdf
- Ringland, Gill. *Scenario Planning: Managing for the Future*. Wiley. NY. 1998. Chapter 6 – Scenarios to Influence Public Attitude.
- Rojas Aravena, Francisco. "Human Security: Emerging Concept of Security in the Twenty-First Century," chapter 1 in Moufida Goucha and Aravena, editors, *Human Security, Conflict Prevention and Peace in América Latina and the Caribbean* (UNESCO-FLACSO/Chile, 2003): pages 11-25.
- Tickner, Arlene B. "Latin America and the Caribbean: Domestic and Transnational Insecurity," in the *Coping with Crisis Working Paper Series*, International Peace Academy, February 2007, pages 1-13.
- Tulchin, Joseph S.; Espach, Ralph H. Eds. *Latin America in the New International System* (Boulder, London: Lynne Rienner Publishers, 2001), pages 1-72; 215-222.

- Brasil, *Estrategia de Nacional de Defensa*, Brasília: Ministério da Defesa, 2008.
- Chile, *Libro de la defensa Nacional de Chile 2010*, Santiago: Ministerio de Defensa Nacional, 2010.
- Guatemala, *Libro de la Defensa Nacional de la República de Guatemala*, Ciudad de
- Guatemala: Ministerio de la Defensa Nacional, 2001
- El Salvador, *Libro de la Defensa Nacional*, San Salvador: República de San Salvador. 2006

Week 3: Implementation of Strategy

During the final week in residence, the focus will be on the application of theory (international relations, international security and strategy) to security issues in Latin America and the Caribbean. Each student will have the opportunity to present their current findings for the research paper. Each student will receive feedback from colleagues and from the instructor on that research. This feedback is meant to help the student in the final phase of the course: the writing of the research paper.

Day 11: Security in Latin America: Decision-Making; Venezuela

Goal: Be able to apply concepts in international security and strategic thinking to current security issues in Latin America and the Caribbean.

Objectives:

- Be familiar with theories of international security and strategy.
- Be familiar with theories concerning decision-making related to national security.
- Apply theories of international security and strategic thinking to specific cases in Latin America, especially Venezuela.

Mandatory Readings:

- Vandenbroucke, Lucken S. Anatomy of a Failure: The Decision to Land at the Bay of Pigs." *Political Science Quarterly*, Volume 99:3, Fall 1984. Pages 471-491.
- Fernando, Francisco. The Effectiveness of Military Governments during War: The Case of Argentina in the Malvinas," *Armed Forces and Society*, Volume 33:4. July 2007. Pages 612-637.
- Allison, Graham T. "Conceptual Models and the Cuban Missile Crisis," *The American Political Science Review*, Vol 63, 3, 1969.

Complementary Reading:

- Arceneaux, Craig; Pion-Berlin, David. *Transforming América Latina: The International and Domestic Origins of Change* (Pittsburgh, PA: University of Pittsburgh Press, 2005): chapter 6, "Regional Security in Central America," pages 156-186.
- Silva, Patricio. "Epilogue: Violence and the Quest for Order in Contemporary América Latina," chapter 12 in Kees Koonings and Dirk Kruijt, editors, *Armed Actors: Organized Violence and State Failure in América Latina* (London, New York: Zed Books, 2004): pages 186-191.
- Solís Rivera, Luis Guillermo. "Central America: Integration, Security and the Crisis in the Regional System," in Moufida Goucha and Francisco Rojas Aravena, *Human Security, Conflict*

Prevention and Peace in América Latina and the Caribe (UNESCO-FLACSO/Chile, 2003): pages 115-126.

- Trinkunas, Harold. "Venezuela: The Remilitarization of Politics," chapter 7 in Kees Koonings and Dirk Kruijt, editors, *Armed Actors: Organized Violence and State Failure in América Latina* (London, New York: Zed Books, 2004): pages 106-126.
- Vanden, Harry E.; Prevost, Gary. *Politics of América Latina: The Power Game*. Oxford University Press, 2008. Case Studies of Guatemala (Susanne Jonas) and Nicaragua (Gary Prevost and Harry E. Vanden).

Day 12: Security Issues in Latin America: Central America; External Actors

Goal: Be able to apply concepts in international security and strategic thinking to current security issues in the Caribbean; and to recognize external actors in Latin America..

Objectives:

- Be familiar with theories of international security and strategy.
- Apply theories of international security and strategic thinking to security issues in Central America.
- Apply theories of international security and strategic thinking to external actors in Latin America (such as the People's Republic of China).

Mandatory Readings:

- Meyer, Peter J. and Clare Ribando Seelke. *Central America Regional Security Initiative: Background and Policy Issues for Congress*. Congressional Research Service, CRS Report for Congress, March 30, 2011, 35 pages. <http://www.fas.org/sgp/crs/row/R41731.pdf>
- Ellis, Evan R, "Rivalidades Emergentes de Múltiples Potencias en Latinoamérica. *Air & Space Power Journal* 2011 Pages 16-25. http://www.airpower.maxwell.af.mil/apjinternational/apj-s/2011/2011-1/2011_1_03_ellis_s.pdf
- Ellis, Evan. Chinese Engagement with Nations of the Caribbean. Manuscript for publication, 2011. 11 pages.
- Ellis, Evan. China's Cautious Economic and Strategic Gamble in Venezuela, *China Brief* (Volume XI, Issue 18, September 30, 2011, pages 7-11. http://www.jamestown.org/programs/chinabrief/single/?tx_ttnews%5Btt_news%5D=38472&cHash=f91669338e384aedf7b7cd054c13314b
- Ellis, Evan. What China Will Fight For in Latin America in the Coming Generation," in *Security and Defense Studies Review* (Volume 11, Fall-Winter 2010 Issue, Pages 111-120. <http://www.ndu.edu/chds/docUploaded/SDSRFinal91510-V10.pdf>

Complementary Readings:

- Dudley, Steven S. *Drug Trafficking Organizations in Central America: Transportistas, Mexican Cartels and Maras*, Working Paper Series on U.S.-Mexico Security Collaboration, Wilson Center for Scholars/Mexico Institute and University of San Diego, Trans-Border Institute, May 2010, 30 pages.
- Ellis, R. Evan. "New Frontiers? China-Latin America Space Cooperation," *Security and Defense Studies Review* (Volume 10, Spring-Summer 2010): 123-130.

- Ellis, R. Evan. "Venezuela's Relationship with China: Implications for the Chávez Regime and the Region," paper published by University of Miami, Center for Hemispheric Policy, August 18, 2010. 12 pages.
- Ellis, Evan. Statement before the U.S. Congress, House Committee on Foreign Affairs, Subcommittee on the Western Hemisphere, June 11, 2008. 5 pages
- Kirk, Robin. *More Terrible Than Death: Drugs, Violence, and America's War in Colombia*. (Public Affairs: 2004).
- Manwaring, Max G. *Contemporary Challenge to State Sovereignty: Gangs and Other Illicit Transnational Criminal Organizations (TCOs) in Central America, El Salvador, Mexico, Jamaica, and Brazil* (U.S. War College, Strategic Studies Institute, 2008): 66 pages.
- Savenije, Wim; Van der Borgh, Chris. "Youth Gangs, Social Exclusion and the Transformation of Violence in El Salvador," chapter 10 in Kees Koonings and Dirk Kruijt, editors, *Armed Actors: Organized Violence and State Failure in América Latina* (London, New York: Zed Books, 2004): pages 155-171.
- Tickner, Arlene B.; Mason, Ann C. "The Andean Region Human Security Dynamic," in Moufida Goucha and Francisco Rojas Aravena, *Human Security, Conflict Prevention and Peace in América Latina and the Caribe* (UNESCO-FLACSO/Chile, 2003): pages 127-140.
- U.S. Agency for International Development, *Assessment of the Implementation of the United States Government's Support for Plan Colombia's Illicit Crop Reduction Components*, April 17, 2009. Pages 1-55.
- Vanden, Harry E.; Prevost, Gary. *Politics of América Latina: The Power Game*. Oxford University Press, 2008. Case Studies of Venezuela (Daniel Hellinger), Colombia (John C. Dugas), and Bolivia (Waltraud Morales).
- Vellinga, Menno. "Violence as Market Strategy in Drug Trafficking: The Andean Experience," chapter 5 in Kees Koonings and Dirk Kruijt, editors, *Armed Actors: Organized Violence and State Failure in América Latina* (London, New York: Zed Books, 2004): pages 73-86.

Day 13: Security Issues in Latin America: Colombia; Argentina

Goal: Be able to apply concepts in international security and strategic thinking to current security issues in South America.

Objectives:

- Be familiar with theories of international security and strategy.
- Apply theories of international security and strategic thinking to security issues in Colombia and Argentina.

Mandatory Readings:

- Arbelaez Arango, Alejandro. "La Política Colombiana de Seguridad Democrática, 2002-2010.
- Ivan Duque Márquez, Seguridad y transformación social : Una visión a la estrategia de Desarrollo da la Administración Uribe en la historia reciente de Colombia.
- Apertura del 129 período de decisiones ordinarias del Congreso Nacional: Discurso de la presidenta Cristina Fernández
<http://www.presidencia.gov.ar/discursos/6212-apertura-del-129-periodo-de-sesiones-ordinarias-del-congreso-nacional-discurso-de-la-presidenta-cristina-fernandez>
- Argentina, Ministerio de Defensa – Decreto 1714/2009 – Directiva de Política de Defensa Nacional. <http://www.mindef.gov.ar/leyes%20y%20decretos/Decreto%201714%20-%202009.doc>

Day 14: Security Issues in Latin America: Brazil; Chile; + Course Review

Goal: Be able to apply concepts in international security and strategic thinking to current security issues in South America.

To review the major points of the course, and to evaluate the learning of the students

Objectives:

- Be familiar with theories of international security and strategy.
- Apply theories of international security and strategic thinking to security issues in Brazil and Chile.
- Present research findings to date.

Mandatory Readings:

- Bertonha, João Fábio. "Brazil: An Emerging Military Power? The Problem of the Use of Force in Brazilian International Relations in the 21st Century." *Revista Brasileira de Política Internacional* 53.2 (2010): 107-24. <http://www.scielo.br/pdf/rbpi/v53n2/06.pdf>

Complementary Readings:

- Brands, Hal. *Dilemmas of Brazilian Grand Strategy* (Carlisle Barracks, PA: U.S. Army War College, Strategic Studies Institute, August 31, 2010).
- Guedes da Costa, Thomaz. "Strategies for Global Insertion: Brazil and Its Regional Partners," chapter 4 in Joseph S. Tulchin & Ralph H. Espach, editors, *Latin America in the New International System* (Boulder, Colorado and London: Lynne Rienner, 2001), pages 91-116.
- Oelsner, Andrea. *International Relations in Latin America: Peace and Security in the Southern Cone* (Routledge, 2009).
- Tollefson, Scott D. "Brazil: The Emergence of a Regional Power," in Jeffrey S. Lantis, Juliet Kaarbo, and Michael T. Snarr, editors, *Foreign Policy in a Comparative Perspective: Domestic and International Influences on State Behavior* (CQ Press, 2001), pages 283-301.
- Vanden, Harry E.; Prevost, Gary. *Politics of Latin America: The Power Game*. Oxford University Press, 2008. Case Studies of: Brazil (Wilber Albert Chaffee), Argentina (Aldo C. Vacs), and Chile (Eduardo Silva).

Day 15: Course Wrap Up

Goal: To finalize the course.

Objectives:

- Conduct final review
- Conduct final counseling for research paper preparation.
- Conduct end-of-course ceremony

C. Writing Phase

Recommend that you turn in the final draft of your paper on Friday, December 2, 2011.

Final draft of paper is due no later than **Monday, January 2, 2012.**