



DEPARTMENT OF DEFENSE
NATIONAL DEFENSE UNIVERSITY
WASHINGTON DC 20319-5066

MEMORANDUM TO NATIONAL DEFENSE UNIVERSITY FACULTY AND STUDENTS

SUBJECT: (U) NDU Non-Attribution Policy

15 December 2021

1. References

a. DoDD 5230.09: Clearance of DoD Information for Public Release, August 22, 2008, Certified Current Through August 22, 2015 [Incorporating Change 1, Effective March 16, 2016], available at <https://www.hsdl.org/?view&did=791413>

b. 1940 Statement of Principles on Academic Freedom and Tenure with 1970 Interpretive Comments, Association of American University Professors Policy Documents and Reports, 1995. Available at: <https://www.aaup.org/report/1940-statement-principles-academic-freedom-and-tenure>.

c. Middle States Commission on Higher Education, Philadelphia, PA, Standards, Standard II - Ethics and Integrity, available at <https://www.msche.org/standards/>

d. Chatham House Rule, available at <https://www.chathamhouse.org/chatham-house-rule>

e. National Defense University Academic Freedom Policy (30 September 2020), available at <https://ndu-libguides-com.nduezproxy.idm.oclc.org/c.php?g=968179&p=7000387>

2. Non-Attribution Policy. To support academic freedom and encourage open and candid academic exchange with NDU faculty, staff, students, non-NDU speakers, and senior leaders, NDU maintains a non-attribution (off-the-record) policy. All attendees of class lectures and presentations at NDU will honor the speaker's right not to have expressed views or opinions attributed to them outside of the NDU environment without his or her explicit permission. This policy protects external speakers from public access to their remarks and ensures information gained in these settings are for academic purposes only. It is important to understand that an academic climate of non-attribution or "Chatham House Rule" provides a sense of collaboration, fairness, and protection that promotes freedom of expression in classrooms, lectures, videos, online education forums, workshops, exercises, face-to-face dialogs, or any other exchange of verbal, telecast, and written communicate within the university framework. It also enables the intellectual development of students as the policy protects the rights of students so they may challenge each other and ask any question pertinent to the discussion.

a. Academic freedom is a cornerstone of NDU's core values and principles to promote the right of students and faculty to express their ideas and challenge the ideas of others without fear of retribution. While aspects of academic freedom are protected by the First Amendment, the principle pre-dates the Constitution. It is a right afforded to faculty members as a group for freedom in teaching, research, scholarship, internal criticism, and debate. It further serves as the

basis for faculty input in institutional governance and derives from shared professional expertise and experience.

b. Freedom of speech is a time-honored tradition that requires profound individual responsibility and good judgment. Academic Freedom provides the climate to pursue and express ideas, opinions, and issues relative to the university purpose, free of undue limitations, restraints, or coercion by the organization and external environment. This is not meant to restrict discussions of controversial subjects; however, good judgment and discretion must be a guiding standard. NDU is a professional institution comprised of the finest faculty, staff, and students with the highest of values. It is also understood that NDU is a family at large whose members shall refrain from making unreasonably offensive or irresponsible statements either verbally, pictorially, or in writing. The university cannot limit the right of students, faculty, or staff to express themselves, but common sense and professional honor should discourage members from denigrating any person's race, color, ethnic group, religious beliefs, sexual orientation, or gender.

c. Academic integrity requires that authors follow university and program instruction, publication, and lecture procedures. Authors shall pursue facts from trusted sources, safeguard information (classified, unclassified, and personal identification information), and submit work for internal review before publication. DoD Directive 5230 describes procedures for the release of information that is officially endorsed by academic institutions, as well as those acting in a private capacity not associated with their official duties.

d. On-line Delivery of Instruction. The need to conduct some of our educational delivery in a virtual manner presents unique challenges to our traditional practice of non-attribution policy. We must safeguard freedoms of expression, but have situational awareness of the audience and active education and control measures to ensure adherence to the non-attribution policy.

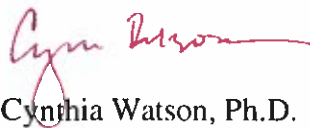
(1) Recording of Class Sessions by Students. Students are prohibited from recording class sessions and distributing class recordings.

(2) Recording of Class Sessions by Instructors. Recording asynchronous online class sessions and faculty presentations are appropriate. This allows students to review content after class and provides a viewing opportunity for students who are unable to attend class. It is the responsibility of all NDU faculty to ensure that all personnel being recorded are aware of the voice and video recording and that the video and associated material are not reproduced or placed in the public domain. This means each faculty member must use NDU's official learning management system (Blackboard or MS Teams at the time of promulgation of this policy) to record and post content. It is prohibited to place the recordings on the Internet or give or sell them to others who may also copy and make them available. Recording of synchronous sessions, which include student discussions or guest lectures, warrants further caution. Instructors should prepare to stop recording at certain points in the class to protect privacy, sensitive content, and the free expression of ideas without negative attribution, and to eliminate the possibility of stifling the discussion.

(3) All employees and students must adhere to DoD and NDU regulatory and policy standards and practices to prevent the unauthorized recording, misuse, or possible hacking of on-line academic instruction, discussion, work, or other forums. Use of any unauthorized material or the inclusion of suspect web/internet material could introduce malware or malign actors into the NDU network and jeopardize careers, reputations, future academic endeavors, well-being, or even national security and other legitimate governmental interests. The command has confidence that everyone will use their professional judgment when accessing and using material on the internet. However, if there is the slightest doubt then ASK the Information Technology Division (ITD) FIRST about the security of a site or action.

3. Action. All NDU affiliated colleges, institutions, agencies, and activities will adhere to this policy and incorporate the overarching philosophy within their respective policies and action. Emphasize online education and work environment professionalism. The reproduction of this policy is authorized.

4. NDU proponent: Provost and Vice President for Academic Affairs, (202) 685-0080



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