

UNCLASSIFIED

1 May 2015

INFORMATION PAPER

Subject: National Defense University Budget Process

1. Purpose. Provide highlights on NDU budget process and proposed process improvements

2. NDU Budget Process: Following is a timeline depiction of the budget process this year.

- Mar 15: OSD issues future years' Fiscal Year (FY) 2017-2021 Fiscal Guidance to the Chairman of the Joint Chiefs of Staff. This document has a budget line for Joint Staff and a budget line for NDU as well as totals by fiscal year.
- Apr 15: CJCS has the opportunity to adjust the distribution of funds between Joint Staff and NDU prior to detailed development of the future year budgets.
- May 15: NDU internally develops a balanced academic and support program based on budget distribution figures and policy guidance from the CJCS and the Joint Staff DJ7.
- Jun 15: NDU submits Issue Papers identifying shortfalls and risk analysis to the Joint Staff.
- Aug 15: Joint Staff determines which shortfalls to submit and prioritizes them into one Joint Staff submission with NDU issues as separate items.
- Nov 15: OSD issues Resource Management Decisions to adjust budgets based on risk assessments and Joint Staff recommendations.
- Dec 15 – Feb 16: NDU prepares and submits a FY17 Presidents Budget request to OSD in coordination with Joint Staff. NDU submission goes to Congress as a separate line item.
- Oct 16: Congress appropriates NDU's separate line item budget as Defense-Wide O&M.

3. Future Changes: NDU proposes to integrate future year budget planning and process management more closely with the Joint Staff through the following actions.

- Officially designate the CJCS as the Executive Agent over NDU resources. Expand interaction between Joint Staff Directors and NDU to improve resource efficiencies.
- NDU provide a future year budget plan each year to the Joint Staff in the May timeframe allowing for program level review of spending in relation to mission.
- Integrate NDU requirements into the Joint Staff Issue Paper submissions. (Currently NDU Issue Papers are too small for effective consideration based on DEPSECDEF guidance).
- Goal: Provide CJCS the resource management flexibility and ownership over NDU that the Service Chiefs have over their Senior Joint Education Programs while providing the budget visibility requirements for NDU directed by Congress.

Prepared by: Jay Helming/NDU-Resource Management Division/202-685-3911

UNCLASSIFIED